

## TARIFFS OF PUBLIC JOINT STOCK COMPANY ROSBANK ON BROKERAGE SERVICES FOR LEGAL ENTITIES AND INDIVIDUALS

The brokerage fee of PJSC ROSBANK (hereinafter the "Bank") is calculated and withheld in Russian rubles. For Transactions in foreign currency, the Bank's remuneration is calculated at the rate of the Central Bank of the Russian Federation at the date of the Transaction<sup>1</sup>. The commission is held on the day of the settlement on the Transaction (under the REPO Transactions - on the day of the settlement of the first part of the REPO).

### I. For Transactions on stock market of Moscow Exchange<sup>2</sup>

#### 1. Tariff plans on Transactions:

##### 1.1. *Tariff plan «Basic»*

- a) The Bank's brokerage fee is **0.05%** (zero point five-hundredths percent) of the amount of each Investor's Transaction entered into during the Trading Day;
- b) For this tariff plan Investor can be:
- an individual (Russian or foreign citizen or stateless person) who enters into Transactions through the QUIK<sup>3</sup> Workstation;
  - Russian legal entity, foreign legal entity.
- c) The amount of Transaction is understood as a gross amount of securities under Transaction without accumulated coupon interest, Moscow Exchange fee and other payments.

##### 1.2. *Tariff plan «Voice»*

- a) The Bank's fee is to be charged as percentage from the sum of each Transaction based on the amount of turnover of the Transactions entered into during the Trade date by the Investor.
- b) Investor can be for this tariff plan: an individual (a Russian or a foreign citizen or a stateless person) who enters into transactions without using the QUIK Workstation.
- c) The amount of Transaction is understood as a gross amount of securities under Transaction without accumulated coupon interest, Moscow Exchange fee and other payments.
- d) The amount of this percentage depends on the cumulative turnover under Investor's Transactions entered into during the Trading Day, and is calculated as follows:

The amount of turnover under Investor's Transactions per Trade day (in Russian rubles)	Bank's fee
	Percent from volume of Transaction
Up to 50 000 000	<b>0,1</b>
Over 50 000 001	<b>0,05</b>

##### 1.3. *For transactions with securities with circulation period from 1 till 30 calendar days (included)*

- a) The brokerage fee of the Bank is to be charged as **0,0007% from the sum** of each Transaction of Investor multiplied by the actual number of calendar days before the scheduled redemption date for the security that is the object of the Transaction.
- b) The amount of Transaction is understood as a gross amount of securities under Transaction without accumulated coupon interest, Moscow Exchange fee and other payments.
- c) This tariff is valid for transactions with securities with circulation period from 1 till 30 days (included) and applied only with tariff plan "Basic" and tariff plan "Voice".
- Provisions of clause 1.3 will have come into force since July 01, 2019.

<sup>1</sup> The amount in foreign currency is multiplied by the rate of the Central Bank of the Russian Federation on the date of the Transaction. The total amount in rubles of the Russian Federation is rounded to two decimal places according to the mathematical rules of rounding and retained as a remuneration of the Bank.

<sup>2</sup> Public Joint-Stock Company Moscow Exchange MICEX-RTS.

<sup>3</sup> QUIK Workstation is a remote access system, which is a software and hardware system (and Closed Joint-Stock Company ARKA Technologies have all the rights on QUIK Workstation) by which the Investor has the opportunity through a personal computer to submit requests, receive real-time current financial information, information about Investor's position etc. The QUIK workstation is used by the Bank and is provided to Investors in accordance with the agreement concluded between the Bank and Closed Joint-Stock Company ARKA Technologies. Investor's use of the QUIK Workstation is a confirmation of sufficient awareness and competence of the Investor in its use; awareness and acceptance of the risks that may arise from its use.

1.4. Procedure for entry into legal force of tariff plans specified in clauses 1.1, 1.2 for Investors who (until July 01, 2019): have entered into a brokerage service agreement – further «the Agreement» (who joined to the Brokerage Service Regulation of PJSC ROSBANK – further «the Regulation»).

Tariff plans specified in clauses 1.1, 1.2 of Tariffs shall come into force from July 01, 2019 on the following conditions:

Taking into account that in accordance with clause 6.2.2 of the Regulation, the Bank has the right to unilaterally change the current tariffs of The Bank for the provision of brokerage and other services, from July 01 2019 the tariff plan of each client (except for the cases provided by this document) will be changed on the following conditions:

- for Investors - legal entities the “Basic” tariff plan is set;
- for Investors - individuals who enter into Transactions through the QUIK Workstation and tick the appropriate note in the “Statement on the terms and conditions of brokerage services on the securities market”, the “Basic” tariff plan is set;
- for the Investors - individuals who enter into transactions without using the QUIK Workstation, the “Voice” tariff plan is set.

In addition to the above:

- In respect of Investors who have agreed with the Bank for an individual fee of the Bank for the services rendered to the Investor, the Tariffs previously agreed with the Investors are applied.
- For Investors who have entered into an Agreement with the Bank after the entry into force of present Tariffs, the current Bank Tariffs are applied.

In accordance with clause 8.7.7.2 of the Regulation, Investor has the right to withdraw from Agreement.

## II. For OTC market<sup>4</sup> operations

### 1. Tariff plan «Day»

**a)** The Bank’s fee is to be charged as percentage from the sum of each Transaction based on the amount of turnover of the Transactions entered into during the Trade date by the Investor.

**b)** Investor can be for this tariff plan: an individual (Russian or foreign citizen or stateless person), Russian legal entity, foreign legal entity.

**c)** The amount of Transaction is understood as a gross amount of securities under Transaction without accumulated coupon interest, fee and other payments.

**d)** The amount of this percentage depends on the cumulative turnover under Investor's Transactions executed during the Trading Day, and is calculated as follows:

*For the Russian issuer of the security*

Amount of turnover under Investor's Transactions per Trade day (in Russian rubles)	Bank's fee
	Percent from volume of Transaction
Up to 50 000 000	<b>0,2</b>
from 50 000 001 to 100 000 000	<b>0,1</b>
over 100 000 001	<b>0,05</b>

*For the foreign issuer of the security*

Amount of turnover under Investor's Transactions per Trade day (in US dollars)	Bank's fee
	Percent from volume of Transaction
Up to 1 000 000	<b>0,2</b>
from 1 000 001 to 5 000 000	<b>0,1</b>
over 5 000 001	<b>0,05</b>

If the Transaction payment currency differs from the currency in which the Investor's Transaction turnover amount is determined, to determine the Transaction turnover amount the payment amount is recounted into:

- Rubles for the Russian Issuer at the rate of the Bank of Russia on the date of the Transaction;
- US dollars for foreign Issuers at the cross-rate of the Bank of Russia on the date of the Transaction.

The provisions of section II shall have come into legal force since July 01, 2019.

<sup>4</sup> Securities market, where the conclusion of Transactions with securities carry out without the participation of market operators.

### III. REPO Transactions with Central Counterparty<sup>5</sup> on the Moscow Exchange (tariffs are applicable only for legal entities)

#### 1.1. For REPO Transactions with Central Counterparty settled in USD

The Bank's fee is to be charged depending on the sum of turnover of the Investor's Transactions in all trading modes of REPO with Central Counterparty (order book and off-order book REPO with Central Counterparty settled in US dollars) for the Trading day in Russian rubles at the exchange rate of the Bank of Russia on the Transaction date:

Intraday turnover under Investor's Transactions for the Trading day (US dollars)	Bank's fee
	Percentage from 1 <sup>st</sup> leg of REPO
Up to 5 000 000	<b>0,0015*REPO tenor</b>
Over 5 000 001 till 25 000 000	<b>0,00045*REPO tenor</b>
Over 25 000 001	<b>0,00030*REPO tenor</b>

#### 1.2. For reverse repo deals with Central Counterparty (buying securities with obligation to sell) settled in Russian rubles

The Bank's fee is to be charged depending on the sum of turnover of the Investor's Transactions in all trading modes of REPO with Central Counterparty (targeted / non-targeted REPO with Central Counterparty settled in Russian rubles) for the Trading day on the Transaction date:

Intraday turnover under Investor's Transactions for the Trading day (Russian rubles)	Bank's fee
	Percentage from sum of 1 <sup>st</sup> part of REPO
Up to 100 000 000	<b>0,0025*REPO tenor</b>
Over 100 000 001 to 500 000 000	<b>0,0011*REPO tenor</b>
Over 500 000 001	<b>0,0007*REPO tenor</b>

Provisions of section III shall have come into legal force since July 01, 2019.

### IV. Transactions on the derivatives market of the Moscow Exchange

#### 1.1. For purchase and sale of futures contracts:

The Bank's fee is to be charged depending on the number of contracts for each Derivative Transaction (Futures Contract) of the Investor in all trading modes:

Investor	Bank's fee, Russian rubles per contract
For all legal entities	<b>1</b>
For all individuals	<b>3</b>

Provisions of section IV come into force upon official publication on the Bank's Website.

### V. Additional Bank's services

Service name	Cost of Service
Use of Working place QUIK	Free
Payment of Moscow Exchange/trade system fees	According to rules of Moscow Exchange/trade system
Custody fees of the Bank (Depository <sup>6</sup> )	According to Order of mutual settlements for services of Depository of JSC ROSBANK
<b>Tariffs for information service</b>	
Subscription for newswire	Free
Issue of additional copies of documents certified by Bank	Free

<sup>5</sup> Function of central counterparty shall be performed by Central Counterparty National Clearing Centre

<sup>6</sup> Depository of the Bank is division of the Bank that carries depository activity at securities market and provides depository services under depository account agreement and Terms and conditions of depository activity of PJSC ROSBANK.

Providing report on operations of the Investor	Free
Providing at the request of the client of information mentioned in the Federal Law dated 05.03.1999 № 46-Ф3 "About protection of the rights and legitimate interests of investors on securities market"	Free
<b>Other</b>	
Operations and services within the system of electronic documents flow	Free
Modification of the Questionnaire to the Investor at the request of the Investor	Free
Issue of the additional code table (password) for giving of instructions by phone instead of the lost (compromised)	Free
Registration of change of tariff plan	Free

Provisions of section V shall have come into force upon official publication on the Bank's Website.