

Statement on setup of system users upon execution of consent of the Specialized Depository to manage resources

Table 1.1

List of Users of the Specialized Depository's System

Full names of Users ²	Additional status of System User's Electronic Signature (YES/NO) ¹		Generate a new key
	ES status =3	ES status =4	
	Acceptor	Junior officer	
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

Table 1.2

List of the Client's electronic documents additionally signed by the Specialized Depository's officer

Type of document ⁴	Specify additional rules for signing of documents ³ If fields are left unfilled, all documents will be immediately sent to the Bank without additional signing							
	Stage 1		Stage 2		Stage 3		Stage 4	
	In the amount of (not more than)	ES status	In the amount of (not more than)	ES status	In the amount of (not more than)	ES status	In the amount over	ES status
1 Payments in rubles								
Payment order, Payment request, Interbank transfer, Same client transfer (payment order)								
2 Payments in hard currency								
Foreign currency transfer request, Foreign currency bank transfer request								
Euro								
US dollars								
Other currencies								

Type of document	ES status
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3	Application for purchase of foreign currency	
4	Application for sale of foreign currency	
5	Order on sale of foreign currency from transit currency account	
6	Confirmation to the General Agreement on Placement of Resources	
7	Refund of previously placed resources	
8	Application for placement of resources	
9	Application for early withdrawal of deposit	
10	Cancellation request	

Table 1.3

List of Client-managed accounts regarding which Special Depository's authorized representative gives consent to transactions by signing Client's Electronic Document with Electronic Signature

Name of unit investment fund	Bank account number ⁴

Table 1.4

List of Client-managed accounts regarding which Special Depository's authorized representative gives consent to manage retirement pension savings by signing Client's Electronic Document with Electronic Signature

Name of nongovernment pension fund	Bank account number ⁵

Table 1.5

List of transit accounts⁶ regarding which Special Depository's authorized representative gives consent to Client to withdraw funds in payment for investment units by signing Client's Electronic Document with Electronic Signature

Name of nongovernment unit investment fund	Bank transit account number ⁶

In case it is necessary to forward an Electronic Document directly to the Bank, leave boxes with ES additional status and additional rules for signing of documents unfilled. Insert only full names of System Users. All System Users will enjoy equal rights to sign Electronic Documents. If it is necessary to divide the rights of signature for different System Users and different types of documents, Tables 1.1. and 1.2. shall be filled out in full.

Note 2:
 In addition to the full name of a User, Table 1.1. may provide for limitation of a User's functions in operation of the System. For example:
 Exclusively the right to operate particular settlement accounts or types of electronic documents;
 or
 Exclusively the right to view documents;
 or
 Exclusively the right to generate payment documents and the right to view documents.

Note 3: Table 1.2 carries additional statuses of System User's electronic signature specified in Table 1.1 (3 – Acceptor, 4 – Junior Officer). If no status is specified, it is understood that any System User may send such type of Electronic Document to the Bank for execution. Column <i>In the amount of (not more than)</i> shall carry the maximum amount stated in the Electronic Document, which may be signed by the System Users with different statuses of Electronic Signature. A blank column means absence of limitation of amount.
Note 4: <i>Bank account number</i> means the number of the account opened with the Bank in the name of the Client acting as a trust manager, in which assets of unit investment funds/retirement pension savings are recorded.
Note 5: Retirement pension savings mean a total amount of resources placed by the Pension Fund of the Russian Federation or nongovernment pension fund in the Client's trust management in accordance with Federal Law No.111-FZ of July 24, 2002 <i>On Investment of Resources for Financing of Funded Component of Retirement Pension in the Russian Federation</i> and Federal Law No.75-FZ of May 7, 1998 <i>On Nongovernment Pension Funds</i> .
Note 6: Client's transit account means a bank account opened with the Bank in the name of the Client in the meaning defined by Federal Law No.156-FZ of November 29, 2001 <i>On Investment Funds</i> . The said account is intended for recording of funds transferred in payment for investment units of one unit investment fund.

Contact telephone numbers for addressing technical and organizational aspects of operation of the System:

On behalf of the Bank

System Users support desk.

For Moscow: (495) 937-75-00

For regions: 8 (800) 770-75-00

Operating hours of the System Users support desk are posted at the web-site of the Bank at: www.rosbank.ru.

On behalf of the Client

Full name

Telephone

Email

On behalf of the Client of the Specialized Depository

Full name

Telephone

Email

SPECIALIZED DEPOSITARY		CLIENT	
_____		_____	
Full name _____/ _____		Full name _____/ _____	
Position _____		Position _____	
_____		_____	
« ____ » _____	202 г.	« ____ » _____	202 г.
SEAL		SEAL	

Accepted by the Bank:

Full name _____/ _____

Position _____

“ ” _____ 20 _____

SEAL